## **Contents**

List of Figures	xi
Preface	xiii
Acknowledgments	xxi
About the Author	xxiii
Chapter 1 The Need for Change	1
Traditional Approaches to Teacher Professional Learning New Demands Require Change	1 5
Chapter 2 Effective Teacher Professional Learning	9
Theoretical Models of Professional Development Action	10
Characteristics of Effective Professional Development	12
Focus on Student Learning and Specific Content	13
Extended Over Time and Connected to Practice	15
Align With School Priorities and Goals	16
Build Strong Collaborative Working Relationships	17
Summary	18
Chapter 3 Context: Building an Atmosphere to	
Support Teacher Learning	19
Learning Communities	20
Supportive and Shared Leadership	21
Shared Values and Vision	22
Collective Learning and Application of Learning	23
Shared Personal Practice	24
Results Orientation	25
Leadership	26
Clarity of Purpose	27
Communicate Expectations	27

Attend to the Foundation	28
Begin With a Problem or a Goal	29
Teach Collaboration Skills	29
Develop the Leadership Capacity of Teachers	30
Resources	31
Human	32
Time	33
Technology	33
Money	34
Summary	35
Chapter 4 Content: Specifying the Goals of	
Professional Learning Activities	37
Identifying Goals	39
Step 1: Identifying Student Needs	40
Step 2: Identifying Teacher Needs	41
Step 3: Stating Specific Professional Learning Goals	41
Evaluating Your Professional Development Program	42
Element 1: Teacher Reactions	44
Element 2: Teacher Learning	45
Element 3: Teacher Use of New Knowledge and Skills	45
Element 4: Student Learning	46
Excellent Evaluations Focus on Evidence, Not Proof	48
Summary	49
Chapter 5 Process: Selecting Specific	
Activities and Strategies	51
Learning Designs	53
Who Should Be Involved?	53
Connecting Learning Goals With Specific Strategies	54
Implementation	55
Attend to the Change Process	55
The Issue of Time	57
The Issue of Cost	59
Summary	61
Chapter 6 Lesson Study	63
A Cycle of Instructional Improvement	65
The Lesson Study Philosophy	66
Lesson Study Steps	66
Step 1: Forming and Focusing the Group	66
Step 2: Collaboratively Planning the Research Lesson	67
Step 3: Teaching and Observing the Research Lesson	73

Step 4: Discussing the Research Lesson	73
Step 5: Revising and Reteaching the Lesson	74
Step 6: Discussing the Revised Lesson and Summarizing	
the Learning	75
The Essential Elements of Lesson Study	76
Adult Learning Context	76
Content Knowledge Focus	76
Student Learning Focus	77
In-Person Observation	77
Administrative Support and Participation	78
Challenges to Engaging in Lesson Study:	
Misconceptions to Avoid	79
Summary	80
Chapter 7 Critical Friends	83
The Origins of Critical Friends	85
The Philosophy of CFGs	88
Critical Friends Steps	89
Forming the Group	90
Meeting Preparation	93
The Meeting	94
Between Meetings	96
The Essential Elements of Critical Friends	96
Commitment From the Top	98
Training and Resources	99
Collaborative School Culture	100
Focus on Instructional Practice	100
Benefits of CFGs	100
CFGs Foster a Culture of Community	100
and Collaboration	101
CFGs Enhance Teacher Professionalism	101
CFGs Influence Teacher Thinking and Practice	101
Summary	102
Chapter 8 School Rounds	103
A Lesson From Medicine	
The Rationale for School Rounds	105 107
	107
The Steps in School Rounds	
Forming Rounds Groups Rounds Prenaration	108 111
Rounds Preparation The Rounds Lesson	111
The Rounus Lesson The Debriefing	111
Post-Rounds Reflection	112
1 051-Noutius Nejtection	114

Learning With Rounds	114
Summary	115
Chapter 9 Action Research	117
A Hands-On Approach	118
Why Choose Action Research?	121
The Action Research Process	122
Individuals or Groups?	122
Selecting a Focus	124
Creating a Plan	126
Collecting Data	128
Analyzing and Interpreting Data	129
Taking Action	130
Sharing What Has Been Learned	132
Benefits of Action Research	133
Summary	134
Chapter 10 Mentoring	135
The Power of Relationships	136
Why Mentoring Matters	137
Essential Steps in Building a Mentoring Program	139
Step 1: Creating a Vision	139
Step 2: Competencies and Responsibilities	140
Step 3: A Structure for Mentoring	142
Step 4: Finding the Time	142
Step 5: Mentor Selection Process	143
Step 6: Determining Training Methods	144
The Work of Mentoring	145
The Beginning of the Year	146
Disillusionment, Frustration, and Rejuvenation	149
Reflecting and Planning	149
The Role of the Principal	150
Essential Elements	151
Summary	152
Chapter 11 Peer Coaching	153
Harnessing Peer-to-Peer Wisdom	154
The Rationale for Peer Coaching	158
Essential Steps of the Peer Coaching Process	160
Step 1: Get Things Started	161
Step 2: Identify a Need and Specify an Outcome	162
. , , , , , , , , , , , , , , , , , , ,	

Step 3: Understand the Current Reality	163
Step 4: Explore Assumptions	164
Step 5: Create and Test Alternative Solutions	165
Step 6: Monitor Progress	166
Essential Elements	166
Summary	168
Chapter 12 Online Professional Development	169
The Rationale for Online Professional Development	170
A Framework for Implementing Online Professional	
Development	172
Who Should Be Involved?	173
Technology Considerations	173
Addressing Online Professional Development Myths	174
Connect Learning Goals With Specific Online Options	175
Determine When Teachers Will Participate	176
Align Online Professional Development With On-Site	
Professional Learning	177
Monitoring and Evaluating Online Professional	
Development	178
Leading Online Professional Development Efforts	179
Summary	179
Chapter 13 Personal Learning Networks	181
Going Global	183
The Power of PLNs	186
The Process of Creating and Cultivating PLNs	189
Getting Started	189
Step 1: Introduction to Digital Tools and	
Creating a Blog	193
Step 2: Social Networking	193
Step 3: Using an Aggregator	194
Step 4: Podcasting and Media Sharing	194
Step 5: Creating Online Slideshows	194
Step 6: Microblogging	194
Step 7: Reflecting	195
Using Tools to Connect: Early PLN Formation	195
Advanced PLN Formation: What Does	
It Look Like?	196
The Challenges of PLNs	197
Summary	198

Chapter 14 The Challenges of Introducing New Forms	
of Teacher Professional Learning	
How Have Teachers Experienced Past Professional	
Development?	202
Are Teacher Needs and Preferences Being Considered?	203
Are Teachers Treated With Respect?	203
Are Teachers Being Asked to Collaborate,	
Think, and Innovate?	204
Do School Leaders Make the New Strategies	
Easy to Implement?	204
Are Professional Development Activities Linked to a	
Compelling Purpose?	205
Breaking Down Barriers	205
Involve Teachers in the Process	206
Help Teachers Experience Early Success	206
Support Teacher Efforts	207
Attend to Context	207
Keep the Focus on Student Learning	208
Summary	208
Chapter 15 A Call to Action	209
References	213
Index	223

## **List of Figures**

Figure 2.1	Theoretical Model of Professional	
	Development Action	12
Figure 2.2	Adult Learning Principles	13
Figure 2.3	The Shift From Traditional PD to	
	Research-Based Effective PD	14
Figure 3.1	Four Cost-Effective Ways to Create a Context	
	Supportive of Teacher Professional Learning	31
Figure 4.1	Teacher Professional Development Program	
	Evaluation	47
Table 5.1	The Purposes and Rationales for Eight	
	Powerful Professional Development Strategies	56
Table 5.2	Time Commitments Required by Eight	
	Powerful Professional Development Strategies	58
Table 5.3	Resources Needed for Eight Powerful	
	Professional Development Strategies	60
Figure 6.1	Lesson Study Features and Results	68
Figure 6.2	Choosing a Lesson Study Goal	69
Figure 6.3	Template for Detailed Lesson Study Lesson	
	Plan Document	71
Figure 7.1	Group Member Roles in a CFG	87
Figure 7.2	Critical Friends' Protocols and Their Uses	88
Figure 7.3	A Process for Establishing Group	
	Norms in CFGs	92
Figure 7.4	Steps in the Consultancy Protocol	97
Figure 7.5	Steps in the Assignment Protocol	98

Figure 8.1	Norms of Collaboration for School Rounds	110
Figure 8.2	Broad Learning Questions for the	
C	Post-Rounds Reflection	113
Figure 9.1	The Action Research Cycle	123
Figure 9.2	Steps in the Action Research Process	123
Figure 9.3	Worksheet for Generating Action	
	Research Topics and Questions	125
Figure 9.4	Worksheet for Developing an	
	Action Research Plan	127
Figure 9.5	Using What Has Been Learned to Take Action	131
Figure 10.1	Questions to Ask and Answer in Building the	
	Foundation for an Effective Mentoring Program	140
Figure 10.2	Qualities of Effective Mentors	141
Figure 10.3	Questions to Help Mentors Connect	
-	With Mentees	147
Figure 11.1	Comparing the Three Types of Peer Coaching	156
Figure 11.2	The Peer Coaching Process	161
Figure 13.1	Three Types of Connections of a PLN	184
Figure 13.2	The Process of Constructing a PLN	190
Figure 13.3	Expanding Your PLN: Some of the	
<u> </u>	Best Digital Tools	191

## **Preface**

Nothing has promised so much and been so frustratingly wasteful as the thousands of workshops and conferences that led to no significant change in practice when teachers returned to their classroom.

-Michael Fullan

As she goes in for treatments and meets with her doctor regularly to examine her progress. Her doctor meets with other physicians to discuss her test results and they share their perspectives, insights, and approaches in treating cases like hers. Her doctor is confident that she is receiving quality care based on the combined knowledge and experience of their team. These doctors dedicate a half day every week to review cases, look at new information emerging from research, and determine the most promising treatments for their patients. By focusing on consistent collaboration and communication, rather than functioning as solitary practitioners, the physicians improve their individual and collective practices.

We expect our doctors to regularly evaluate and monitor our health and to select the best available plan for improvement—and we demand that they eliminate treatments that don't work and replace them with more effective ones. But we rarely make the same demands of our schools and our teachers. Professionals in other fields, from medicine to financial management to law, engage in ongoing learning opportunities. The time has come for schools to engage teachers in learning the way other professions do—continuously, collaboratively, and on the job.

Fortunately, examples of how to do this already exist, as many countries are working to improve their education systems by investing

in teacher learning as a major engine for student achievement. The highest-achieving countries on international measures, such as the Programme for International Student Assessment (PISA) and the Third International Math and Science Study (TIMSS), have been particularly focused on developing teachers' expertise both before and after they enter the profession and throughout their careers (Stigler & Hiebert, 1999).

Noted educational researchers Linda Darling-Hammond (2003) and Vivien Stewart (2011) have studied the professional learning opportunities provided for teachers in the high-achieving nations of Finland, Sweden, Japan, South Korea, and the United Kingdom and have found that their teacher learning programs share many features, including

- teacher learning opportunities sustained over time;
- time for teacher professional learning embedded into the school day;
- teacher learning opportunities involving active learning and collaboration;
- professional learning activities embedded in context and focused on specific content to be taught to specific grade levels; and
- teachers who are involved in decisions about curriculum, assessment, and professional learning content and activities.

In these countries, professional development is not something that is done to teachers. It is a process focused on improving student learning, and it requires teacher engagement and active teacher learning.

Unfortunately, while there is understanding about what constitutes effective teacher professional learning, multiple studies (Birman et al., 2007; Blank & de las Alas, & Smith, 2008; Murray, 2011; Wei, Darling-Hammond, & Adamson, 2010) have demonstrated that American teachers do not receive the kind of high-quality teacher professional development common in many other nations. For too long, teacher professional development practices have treated educators as passive recipients of information and schools have expected little change in classroom practices. In-service training, consisting of workshops, speakers, and short-term courses, remains the dominant mode of teacher professional learning in most schools in this country. Often called "one-shot" or "drive-by" professional development, traditional in-service training has been criticized by researchers and school

Preface xv

teachers and leaders as ineffective in bringing about substantive improvements in teacher knowledge, teacher instructional practices, and student learning. Traditional in-service professional development has consumed tremendous resources over the past two decades, with few corresponding results for teachers and students.

Not only do most schools continue to rely upon fragmented, ineffective one-day or two-day activities; few learning opportunities for teachers also feature the intense emphasis on content, repeated chances for practicing what is learned, and meaningful ongoing conversations about instruction that positively influence teacher learning, classroom practice, and student achievement. Schools lack the structures or cultures to support the kind of job-embedded, sustained, contextual, collaborative teacher professional learning that leads to substantive improvements in teaching and learning. What we all want for our students—a variety of learning opportunities that engage them in experiencing and solving real-world problems, using their own experience, and working with others—is often denied to teachers when they are learners.

For our schools to achieve on a wide scale the kind of teaching that has a substantial impact on student learning, much more intensive and effective professional learning than has traditionally been available is required. If we want all students to possess the higher-order thinking skills they need to succeed in the 21st century, we need educators who possess higher-order teaching skills and deep content knowledge. In this book, I explore how school leaders can work to create meaningful, effective professional development programs in their schools to develop the structures and capacity needed to bring about real change. Professional development is supposed to contribute to change in the classroom, and when it doesn't we waste time and resources and compromise teachers' trust that time engaged in professional development is well spent. Workshops, speakers, and conferences can raise awareness and enthusiasm, and can impart knowledge, but they rarely provide the opportunities for reflection, discussions with colleagues, and continued support that are needed to bring about real instructional change.

In many ways teacher professional learning is more important now than ever before. As both Thomas Friedman (2007) and Tony Wagner (2008) have powerfully argued in recent years, students need to learn more complex material in preparation for further education and work in the 21st century. Teachers, therefore, must learn instructional approaches that develop the knowledge and skills students need to succeed in an increasingly diverse and interconnected

world. Ensuring student success necessitates new types of instruction, conducted by teachers who understand content, learning, and pedagogy; who can adapt to the diverse needs of their students; and who can build powerful connections between students' experiences and the goals of the curriculum. These types of transformations demand significant learning on the part of teachers and will not occur without support and guidance. Efforts to improve student achievement can succeed only by building the capacity of teachers to improve their instructional practice and the capacity of schools to promote teacher learning. If teachers are not engaged throughout their careers in learning experiences that enable them to better serve their students, both teachers and students suffer.

Realizing the magnitude and importance of the challenge, the public, politicians, and educators have made high-quality professional learning opportunities for school teachers a priority in modern educational reform proposals (Fishman, Marx, Best, & Tal, 2003). For example, the No Child Left Behind (NCLB) Act of 2001 requires states to make "high-quality" professional development available for all teachers and this has led to substantial resources being devoted to teacher professional development at the local, state, and federal levels; for example, in 2007-2008 the federal government spent almost \$2 billion on professional development for teachers (Desimone, 2009). In addition, The Teaching Commission (2006) has cautioned that "targeted professional development is essential to help teachers meet the demands of recent reforms" (p. 11). Finally, both President Obama and Secretary of Education Arne Duncan have made professional development a priority in their Education Agenda (Darling-Hammond, 2010).

Teachers are not just born; they can be developed. Enhancing the effectiveness of professional learning is the leverage point with the greatest possibility for strengthening the knowledge and teaching practices of educators. For most teachers, professional learning is the most accessible avenue they have for developing the knowledge and skills required to better meet the needs of their students. If teachers are not engaged throughout their careers in new learning experiences that enable them to better serve their students, both teachers and their students suffer. It is the responsibility of every school and every school leader to make teacher growth and development a priority. All school leaders, from superintendents to principals to department heads, must possess a strong resolve to create and maintain the conditions and culture needed to build capacity in the individual and the school. Effective professional learning is learning from the work

Preface

xvii

teachers do. It involves reflective dialogue, observing and responding to one another's teaching, collaborating to implement new strategies, sharing effective teaching approaches and materials, and engaging in research focused on common issues of practice. It not only involves dialogue and collaboration among teachers within a specific school, but also includes teachers connecting with and learning from educators from around the world through the creation of personal learning networks.

The information, ideas, and recommendations in this book are purposely aligned with Learning Forward's revised Standards for Professional Learning (2011). The seven standards, developed from the literature on best practices for effective teacher professional learning, serve to guide the decisions and practices of all educators charged with designing, managing, implementing, and evaluating professional learning in schools:

- **Learning Communities**—Professional learning that improves teaching practices and results in enhanced student achievement occurs within adult learning communities committed to continuous improvement.
- Leadership—Professional learning that improves teaching practices and results in enhanced student achievement requires skillful leaders who develop organizational capacity and implement designs to support professional learning.
- Resources—Professional learning that improves teaching practices and results in enhanced student achievement requires prioritizing, monitoring, and coordinating resources for teacher learning.
- Data—Professional learning that improves teaching practices and results in enhanced student achievement uses a variety of data to plan, develop, and assess teacher professional learning.
- Learning Designs—Professional learning that improves teaching practices and results in enhanced student achievement uses research-based learning strategies to achieve its intended goals.
- Implementation—Professional learning that improves teaching
  practices and results in enhanced student achievement applies
  research on change and sustains support of implementation of
  professional learning for long-term change.
- Outcomes—Professional learning that improves teaching practices and results in enhanced student achievement aligns its outcomes with educator performance and student curriculum standards.

While this book is a balance of research, theory, and practice, it is primarily intended to be a practical resource that educators can use as they work to create meaningful, effective professional learning programs in our schools. For the school principal, it can serve as a comprehensive resource to help them extend and refine their ability to lead effective professional learning. For the superintendent and other central office leaders, it can provide the information needed to give them a sense of the complexity of professional learning and the factors that influence its effectiveness. For the director of professional development, it has the necessary detail and practical information to serve as a guide in creating an effective professional learning plan for the district. And for the teacher-leader, it emphasizes the importance of teachers taking ownership of their own learning and provides practical details regarding how teacher-leaders are an essential part of designing and implementing effective professional learning programs. Finally, most graduate programs in educational leadership, educational administration, or supervision and curriculum have entire courses or sections of courses devoted to leading professional development programs, and this book has excellent potential for use in these settings.

Chapters 1 through 5 provide the foundational knowledge practitioners need to design, implement, evaluate, and sustain effective professional learning in schools. In Chapter 1 I discuss why global and societal shifts make teacher professional learning particularly important today, and reflect on why conventional professional development methods are inadequate in addressing the learning needs of schools and teachers. In Chapter 2 I present current models of professional learning action and examine the characteristics of effective professional learning activities.

The revised Learning Forward Standards for Professional Learning "describe the context, content, and processes for effective professional learning" (Learning Forward, 2011, p. 19), and it is essential that each school leader focus on these three areas to create and sustain an effective teacher professional learning program. While the context, process, and content emphasis are not as prominent in the 2011 Standards as in the 2001 Standards, "they remain a foundation for the seven 2011 standards" (Learning Forward, 2011, p. 19). Learning Communities, Leadership, and Resources standards define the essential context for effective teacher learning and are examined in Chapter 3. Without the appropriate context in place, even the most thoughtfully planned and implemented professional learning activity will fail. Content—establishing the goals of professional development

Preface xix

activities and how to assess them—is the focus of Chapter 4. Content, which encompasses the Data and Outcomes standards, refers to the "what" of professional learning and consists of the learning needs of students, and the specific knowledge, skills, and teaching approaches to be acquired by teachers to better meet those student needs. Process—the "how" of professional learning—is the topic of Chapter 5. Process encompasses the Learning Designs and Implementation standards and involves the types of professional learning activities, and the way those activities are planned, organized, implemented, and followed up.

With a foundational understanding established, I proceed to discuss eight powerful professional learning strategies in Chapters 6 through 13: lesson study, Critical Friends, action research, school rounds, mentoring, peer coaching, online professional learning, and personal learning networks. Many professional learning approaches exist; I have intentionally limited my discussion to just the eight listed earlier for four reasons. First, each of the eight strategies is consistent with research-based principles of effective teacher professional learning. Second, practitioners have found these strategies to be effective in bringing about improvements in instructional practices and student learning, the very outcomes that are the goals of professional learning activities. Third, these strategies are representative of a variety of approaches with some being group approaches (lesson study, Critical Friends, action research, and school rounds), some being individual or pair approaches (mentoring and peer coaching), and some being approaches leveraging technology (online professional learning and personal learning networks). Finally, by limiting the focus to eight strategies I am able examine each one in detail rather than just provide the cursory descriptions found in other works on the subject.

Each strategy chapter provides the detail and guidance school leaders need to use the approach in their schools. Specifically, every strategy chapter includes the rationale behind the strategy, the essential features of the strategy, suggestions for implementing the strategy, resources for learning more about the strategy, and examples of the strategy in action. Some designs will appear more daunting than others, particularly if your school is in the early stages of becoming a learning community. However, we can't wait to implement the strategies presented in this book. Our students will be more engaged, and will learn more, when we create and sustain a context supportive of adult learning, when we intentionally focus the content of professional learning on student needs, and when we carefully choose strategies that help teachers meet those needs.

Following the eight chapters on strategies, I devote Chapter 14 to the very practical concern of how school leaders can overcome the teacher resistance involved in moving to new professional learning practices. Finally, in Chapter 15 I summarize the take-home messages from the book and emphasize the urgency educators must have in making teacher professional learning a priority. I hope this book will serve both as a source of information about teacher professional learning and a "how-to" manual that can be adapted to the particular characteristics and circumstances of individual schools. We are unlikely to seek the services of mechanics, surgeons, or plumbers who are not current with the latest knowledge, products, and procedures in their fields. Our students deserve the same from the educators who serve them. Effective teacher learning programs in our schools are a necessity, not a frill. It is time to engage all teachers in a lifelong process of professional growth. The stakes are too important to ignore: our schools, our children, and our future.